

# SFIT4 – Retrieval parameters

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# Retrieval parameters



# Retrieval parameters

## Overview

**rt** The main parameter `rt` can be used to switch off and on the retrieval altogether.

**rt.lm** Switches on or off the Levenberg-Marquardt iteration scheme.

**rt.convergence** the iteration is considered converged when  $\text{rt.convergence} > D\_CHI = (CHI\_2\_MAX_{i-1} - CHI\_2\_MAX_i)$

**rt.max\_iteration** maximum number of iterations



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ITER	FIT_RMS	GAMMA	CHI^2_X	CHI^2_Y	CHI^2	CHI^2_OLD	DCHI^2
1	25.5294	1.00E+05	0.000	886.616			
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FINAL: MEAN\_SNR= 86.6267 MEAN\_FIT\_RMS(%)= 1.88443 NVAR= 185 NFIT= 3477

BAND	SCAN	RMSSNR (CALCULATED)	(EFFECTIVE)	(RETRIEVED)	CHI^2
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NEGATIVE MIXING RATIO VALUES FOUND FOR : 03668

NEGATIVE MIXING RATIO VALUES FOUND FOR : 03686



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**rt.max\_iteration** maximum number of iterations

For all retrieval parameters a priori is given and a standard deviation sigma in the form

**rt.x.apriori** the apriori of a given value. It is actually applied in the forward calculation. Meaning it can also be used in forward calculations.

**rt.x.sigma** the entry in the  $S_A$  matrix corresponding to this parameter.



# Retrieval parameters

## Wave number scaling and shifting

`rt.wshift` wave number shift.

- ▶ Shift works on the internal grid  
**band.X.calc\_point\_space**
- ▶ This is only useful for microwindows (small) because the mismatch is a wavenumber dependent polynomial. For small wave number regions, this can be approximated by a shift.
- ▶ This is on top of `rt.wave_factor`, which is a scaling.
- ▶ The artificial grid needs to be more dense than the measured grid

`rt.dwshift` wave number shift for each retrieved gas separately, except the first retrieved one.

- ▶ all lines of each gas are shifted by the same amount (!!!)



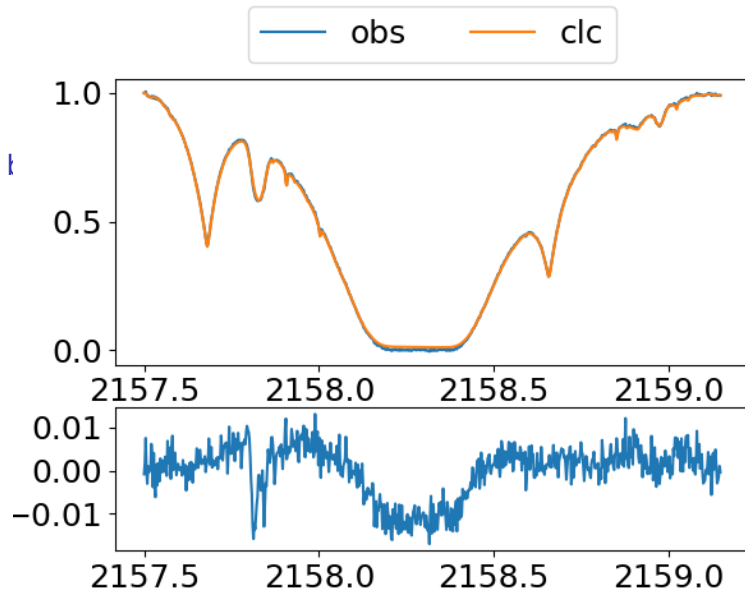
# offset in window

`band.zshift` calculates and retrieves an offset in the microwindow. Two types:

`.type = 1` retrieves the offset in this MW **ONLY ONE!!!**



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- `.type = 2` uses the offset which is retrieved in another microwindow. THIS MUST BE LATER THAN THE MW USED FOR **ZSHIFT.TYPE=1**

`band.zshift.apriori` is also an **FW parameter**



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`band.zshift.apriori` is also an **FW parameter RETRIEVAL ONLY POSSIBLE IF THERE IS AN SATURATED PART IN THE MW.**



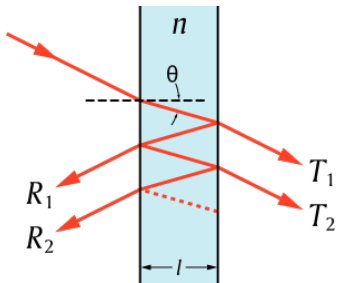
# Theory

The channeling is due to etalons in the beampath. Such etalons may be windows in a detector, filters, surfaces on the beamsplitter. In short, two partially reflecting surfaces create an etalon.



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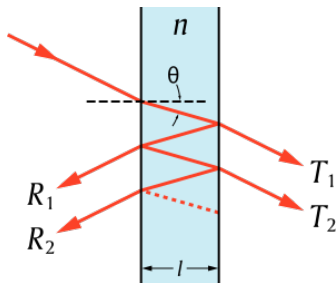
The channeling is due to etalons in the beampath. Such etalons may be windows in a detector, filters, surfaces on the beamsplitter. In short, two partially reflecting surfaces create an etalon.

The transmission  $T = \sum_{n=1}^{\infty} T_n$  through an etalon  $l$  can be calculated by

$$T = \frac{(1 - R)^2}{1 + 2R \cos(\delta) + R^2} \quad (1)$$

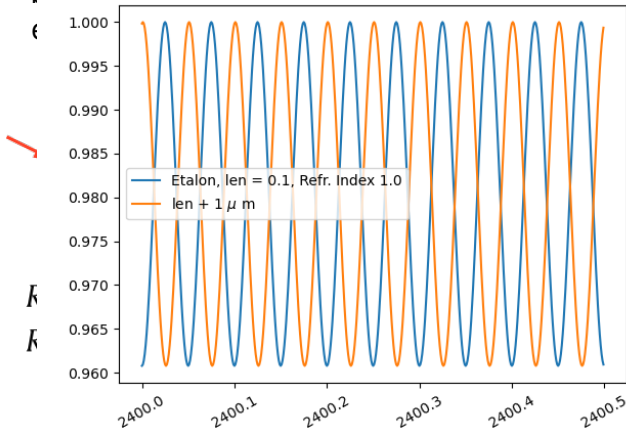
$$\delta = 400\pi\nu nl \quad (2)$$

$R \sim 0$  is the reflectivity of the surface.  
 $n \sim 1.5$  is the refraction index.  
 $\theta = 0$  ( $\theta$  in figure), parallel beam



# Theory

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ces on the  
es create an



# Channeling

## Calculation in SFIT4

The channeling in a MW is calculated via

`band.x.beam` = 1,2 The beams with the numbers 1 and 2 are calculated

`band.x.beam.model` = IP, PS which one is better has to be checked.

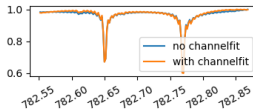
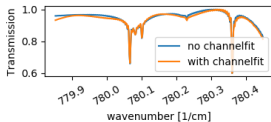
`band.x.beam.1.apriori` = AMP, FREQ, PHAS, SLOPE defines the apriori values of the beam 1

`band.x.beam.1.sigma` standard deviations for all parameters, i  
sigma = 0, parameter is not retrieved,

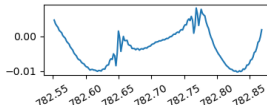
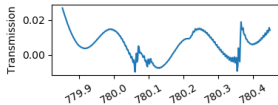


# Channeling

## Calculation in SFIT4

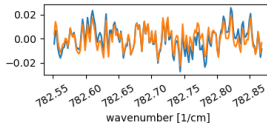
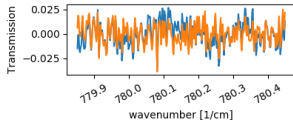


and 2 are



is to be

defines



rameters, i





## rt.slope and rt.background

rt.slope and rt.background can be used to model a sensitivity function of the instrument, caused by a filter or the wave number dependent sensitivity of the instrument itself.

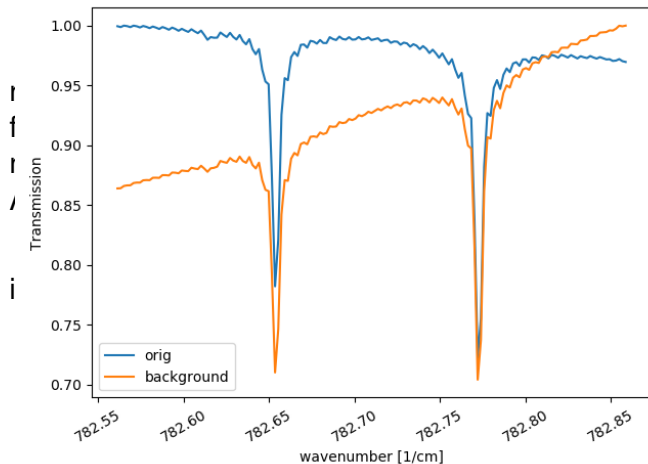
A function

$$\text{rt.slope} * \nu + \text{rt.background} * \nu^2 \quad (3)$$

is multiplied to the calculated spectrum.



# rt.slope and rt.background



sensitivity  
wave  
f.

(3)

# Retrieval parameters

## Construction of the $S_A$ matrix.

The  $S_A$  matrix is constructed from the sigma values given. How this is actually done, depends on the parameters. In principle the  $S_A$  is constructed as a diagonal matrix and inverted in the code to yield the  $S_A^{-1}$  matrix. Some caveats:

`gas.profile.x.correlation` off diagonals using the sigma values as maxima

`.type = 1` gaussian with FWHM = `.width`

`.type = 2` exponentially with FWHM = `.width`

`.type = 3` not used

`.type = 4` the  $S_A$  matrix is read in from `file.sa_matrix`

`.type = 5` the  $S_A^{-1}$  matrix is read in from `file.sa_matrix`



# Retrieval parameters

## Construction of the $S_A$ matrix.

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`gas.profile.x.regmethod` lets you chose between OE optimization and the Thikonov-Phillips regularization with L1 constraint (smoothness constraint)

- `.type = 'OE'` optimal estimation (Rodgers, 2000)
- `.type = 'TP'` Thikonov-Phillips with smoothness constraint
- `.lambda` strength of the regularization in TP. The higher the value the less is the regularization.

The smoothness constraint is calculated from `file.stalayers` in order to adapt for non-unique altitude layering. The matrix is scaled using the `gas.profile.x.sigma` values.



# Retrieval parameters

SFIT

```
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  2    15.7601    1.00E+05    0.000      332.528    332.528    886.616    554.088226
  3     9.8038    1.00E+04    0.004      134.162    134.166    332.528    198.361710
  4     5.1080    1.00E+03    0.038       32.608     32.646    134.166    101.519910
  5     2.6411    1.00E+02    0.105        6.402      6.507     32.646     26.139551
  6     1.9136    1.00E+01    0.217        2.831      3.048      6.507      3.458828
  7     1.8797    1.00E+00    0.281        2.594      2.875      3.048      0.172872
  8     1.8844    1.00E-01    0.300        2.573      2.873      2.875      0.002286

FINAL:    MEAN_SNR= 86.6267    MEAN_FIT_RMS(%)= 1.88443    NVAR= 185    NFIT= 3477

  BAND    SCAN    RMSSNR (CALCULATED)    (EFFECTIVE)    (RETRIEVED)    CHI^2
    1         1             53.98             53.98             49.55             1.19
    2         1             57.52             57.52             56.78             1.03
    3         1            154.48            154.48            110.55             1.95
    4         1            147.91             84.62             50.79             2.92

NEGATIVE MIXING RATIO VALUES FOUND FOR : 03668
NEGATIVE MIXING RATIO VALUES FOUND FOR : 03686
```

**FIT\_RMS** mean variance of the residuum

**GAMMA** the Levenberg Marquardt Parameter

**CHI\_2\_X** A measure of the deviation of the retrieved state  
from the A PRIORI



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**CHI\_2\_Y** A measure for the retrieval quality

$$\chi_Y^2 = \frac{(y_M - y_C)^T S_\epsilon (y_M - y_C)}{m}$$

$\chi_Y^2 = 1$  if the residuum is reduced to the noise as specified in  $S_\epsilon$



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- ▶ In the lower half, the retrieval diagnostics for the last calculation are shown for each MW.
- ▶ A warning if retrieved profiles have negative parts



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Iteration stopped if either  $DCHI < rt.convergence$  or  $ITER > rt.max\_iteration$ , what ever comes first.





# The finish

BAND	SCAN	RMSSNR (CALCULATED)	(EFFECTIVE)	(RETRIEVED)	CHI^2
1	1	138.44	138.44	113.72	1.48
2	1	146.53	146.53	143.52	1.04
3	1	327.50	327.50	185.55	3.12
4	1	319.57	146.69	81.72	2.40

NEGATIVE MIXING RATIO VALUES FOUND FOR : H2O

NEGATIVE MIXING RATIO VALUES FOUND FOR : C2H4

03	: T	H2O	: T	CO2	: F	03668	: F	03686	: F	C2H4	: F
9.5734E+18		5.2139E+22		7.9696E+21		1.6077E+19		1.6077E+19		9.8413E+14	
1.0921E+19		4.1944E+22		1.4369E+22		1.6255E+19		1.5224E+19		-9.1080E+14	

Iter/Mx:05/15 %RMS=1.125 FitPrm=117 CVRG:T DIVW:F DOFS=4.755 SNR= 162. CHI\_2\_Y= 2.2875

RDRV: DONE. ELAPSED TIME = 200.01916800000001

