

# Aerosols and Meteorology

- 1) Background
- 2) Aerosol and precipitation
- 3) Aerosol effects on lightning
- 4) Smoke and severe storms

# Lecture 3: Aerosols and Lightning

- Some Basics of Lightning
- Lightning Flash Rate Parameterizations
- Studies Showing a Correlation between Aerosols and Lightning



# Why Predict Lightning Flashes?

## Forecasting for Safety

- Humans, infrastructure, ...

## Forecasting for Chemistry

- Lightning causes temperature to increase to 1000s of degrees
- Splits molecules, including  $N_2$  and  $O_2$

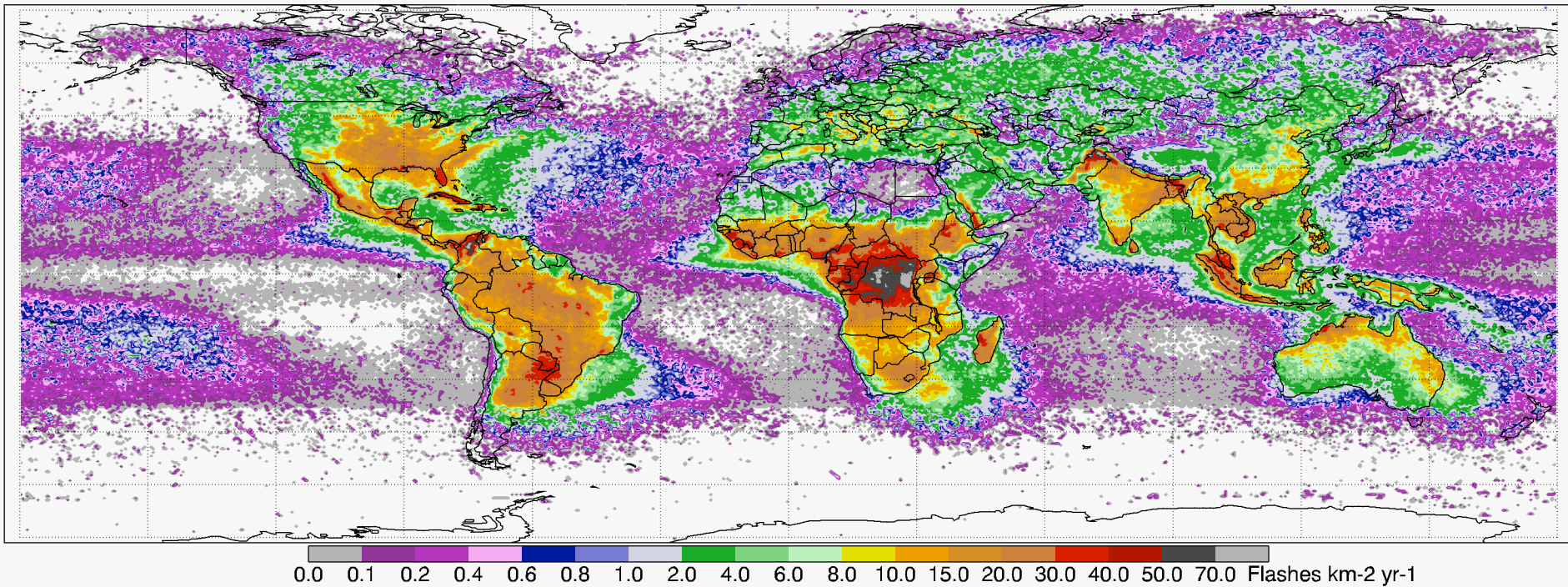


- NO production then goes on to create  $O_3$
- $O_3$  in the upper troposphere acts as a GHG



# Annual number of lightning flashes based on observations from NASA satellites.

HRFC\_COM\_FR

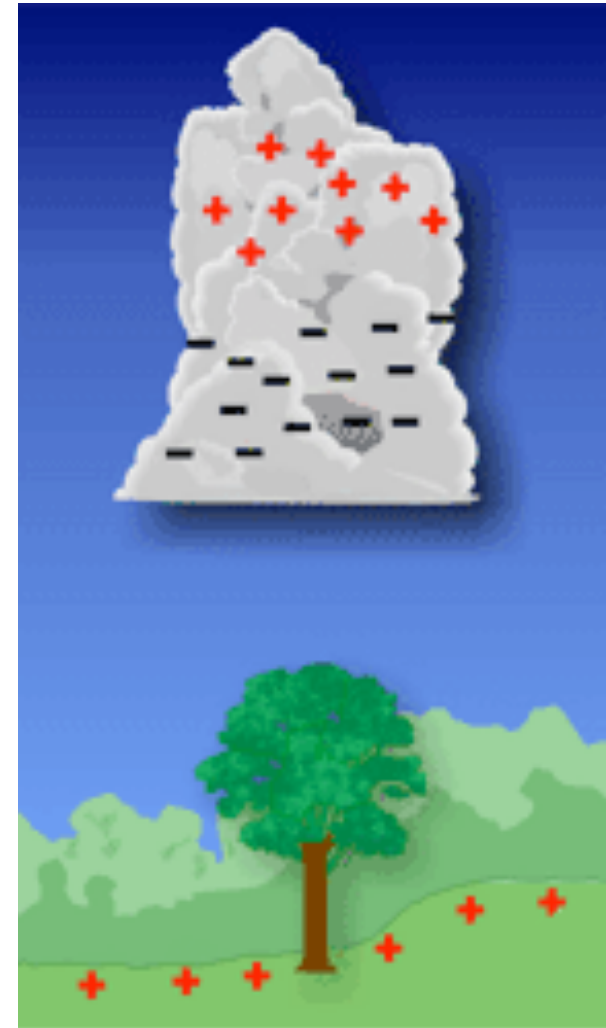


Estimate of 40 flashes per second worldwide – based on NASA satellite research of ~2000 thunderstorms at any given time (14.5 million storms each year)

# Lightning Formation

## Charge Separation

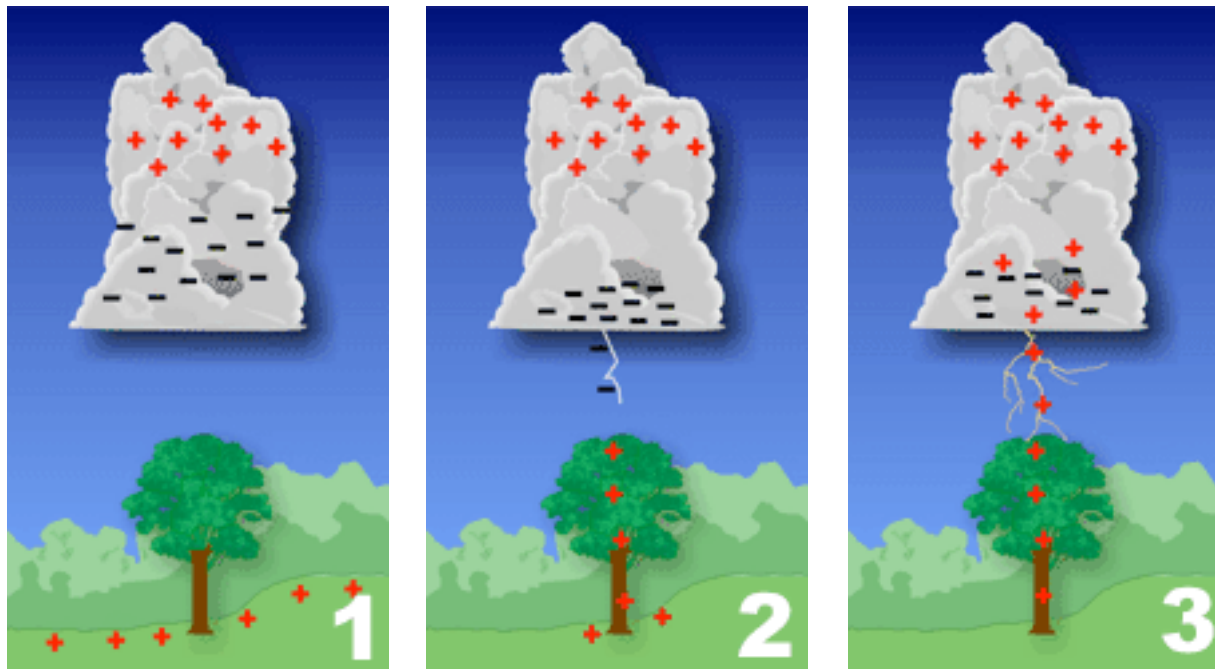
- Side-by-side updrafts and downdrafts
- Updrafts transport cloud droplets towards top of storm
- Downdrafts with falling hail and graupel
- Graupel – water collisions creating a “soft shell” graupel or hail particle
- Further graupel – drop collisions cause electrons to shear off of the ascending water droplets and collect on the falling ice particles
- Charge separation with negative charge in lower cloud and positive charge in upper part of storm



# Lightning Formation

## Triggering Lightning

- Atmosphere is a good insulator – inhibits electric flow
1. Tremendous amount of charge must build up to overcome the atmosphere's insulating properties and trigger lightning
  2. Charge attraction to positive charge in ground
  3. Cloud-to-ground negative lightning



# Lightning Types



Cloud-to-ground negative lightning



Cloud-to-ground positive lightning

Intracloud lightning



# Cloud-to-ground positive lightning

- Positive lightning < 5% of all strikes
- Have 10x greater electric field
- Amount of air it must burn through is greater than that for neg. CG ltng
- May be responsible for most forest fires and power line damage





# Detecting Lightning Flashes

## Commercial Lightning Detection Networks

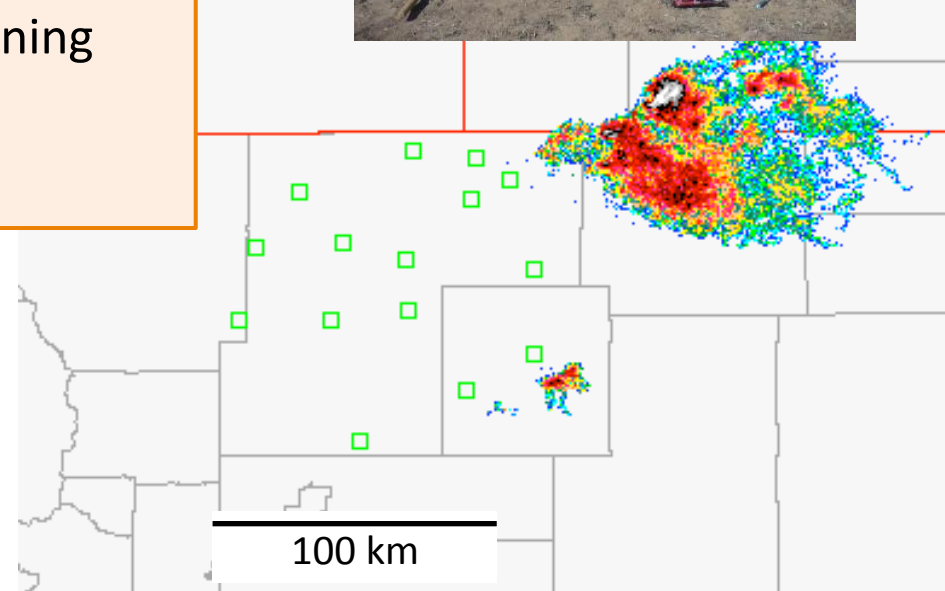
- Very high frequency (VHF) electromagnetic wave (30 – 300 MHz)

## Lightning Mapping Array

- Three-dimensional mapping of lightning channel segments (VHF detection)

## Geostationary Lightning Mapper (GLM)

- Satellite instrument mapping total lightning (near-IR optical detection)



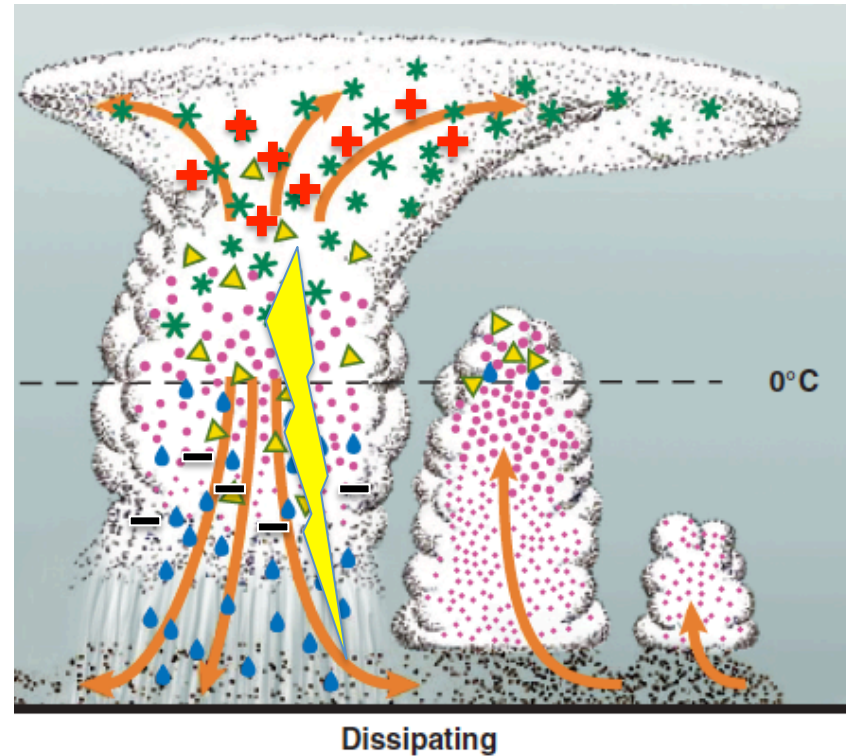
# Predicting Lightning Flashes

## Forecasting for Safety

- Cloud-to-ground lightning
- Lightning potential

## Forecasting for Chemistry

- Total lightning
- Lightning flash rate
- Lightning flash length (or extent)
- Lightning current



graupel – drop collisions separate charge

→ Parameterizations are a function of storm characteristics

# Predicting Lightning Flash Rate

## Parameterized prediction:

- Williams (1985)
- Price and Rind (1993)
- Deierling (2006);
- Wiens et al. (2005)
- Deierling et al. (2008)
- Petersen et al. (2005)
- Basarab et al. (2015)

- cloud top height (of 20 dBZ echo)
- maximum vertical velocity
- precipitation ice mass
- updraft volume
- ice mass flux product
- ice water path
- volume of 35 dBZ region

Precipitating ice = mostly graupel and hail but includes snow

Ice mass flux product

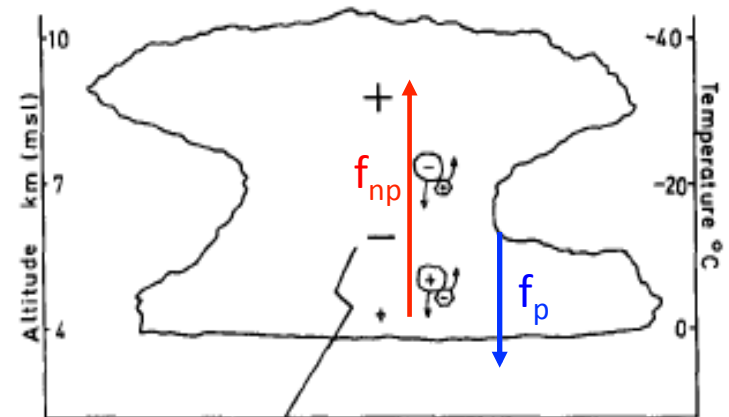


FIG. 2. A schematic of graupel-ice-crystal charge transfer above and below the reversal temperature level in a thunderstorm.

# Do Aerosols Change Lightning Flash Rate?

## Parameterized prediction:

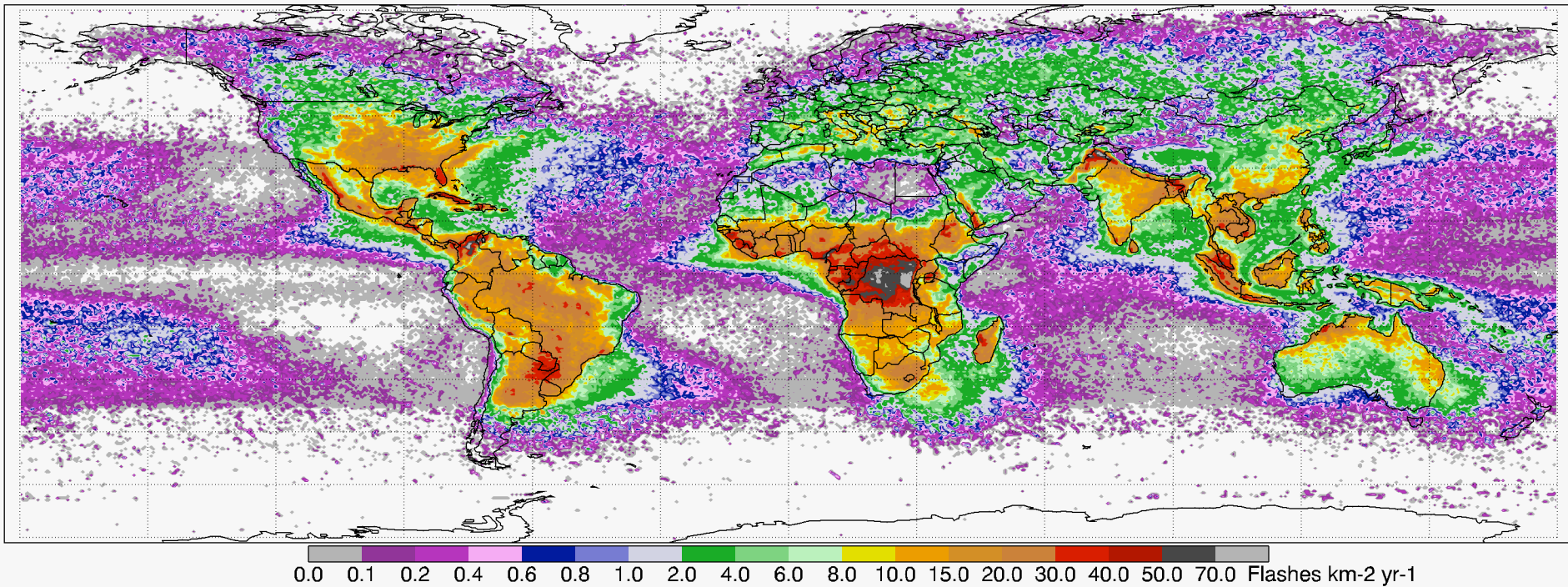
- |                           |                           |
|---------------------------|---------------------------|
| – Williams (1985)         | cloud top height          |
| – Price and Rind (1993)   | maximum vertical velocity |
| – Deierling (2006);       | precipitation ice mass    |
| – Wiens et al. (2005)     | updraft volume            |
| – Deierling et al. (2008) | ice mass flux product     |
| – Petersen et al. (2005)  | ice water path            |
| – Basarab et al. (2015)   | volume of 35 dBZ region   |

**Challenge: Predicting the storm physics and dynamics well in order to use these empirical relationships**

If aerosols affect the cloud physics and dynamics, then they likely affect the lightning flash rate

# Annual number of lightning flashes based on observations from NASA satellites.

HRFC\_COM\_FR



Estimate of 40 flashes per second worldwide – based on NASA satellite research of ~2000 thunderstorms at any given time (14.5 million storms each year)

# Lightning (convective intensity) Variability

(Rosenfeld et al., 2008)

## Thermal Hypothesis as to why lightning varies

- Differences in thermodynamic instability
- CAPE, low-level shear but, CAPE is similar over land and ocean

## Aerosol Hypothesis as to why lightning varies

- Number of CCN influences microphysical and vertical development of convective clouds → convective invigoration
- At  $\text{CCN} > 500 \text{ cm}^{-3}$ , collision-coalescence mechanism hinders precipitation formation (relative to low CCN case)
- More cloud water transported to mixed phase region, causing more latent heat (when drops freeze), stronger updrafts, & greater charge separation

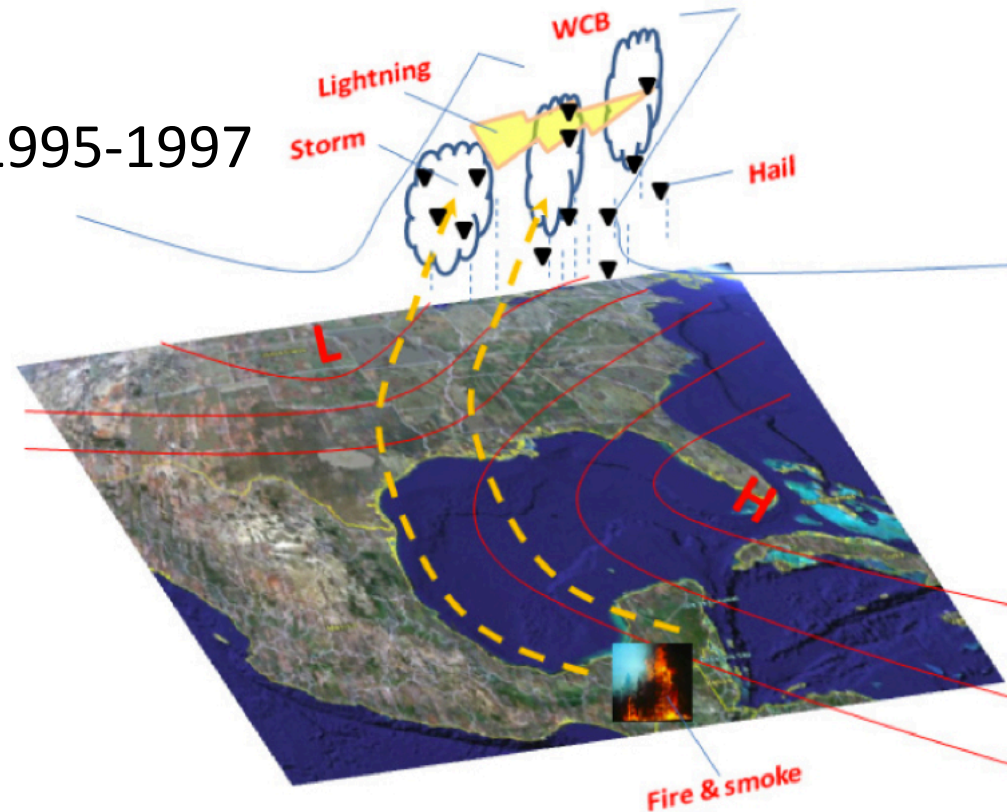
# Effect of Pollution from Central American Fires on CG Lightning in May 1998 (Murray et al., 2000)

## Spring 1998





- El Nino: 1997-1998
- Central American Fires

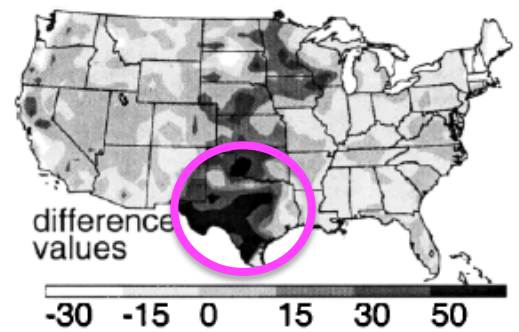
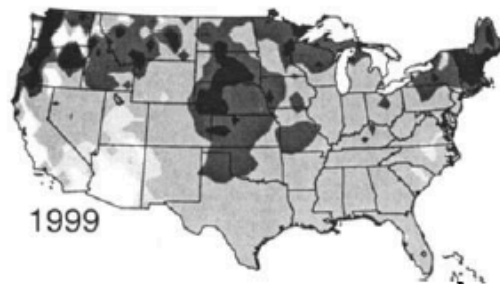
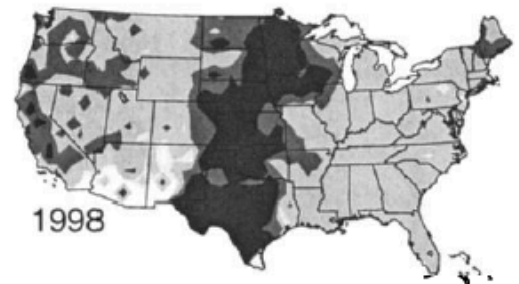
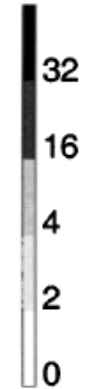
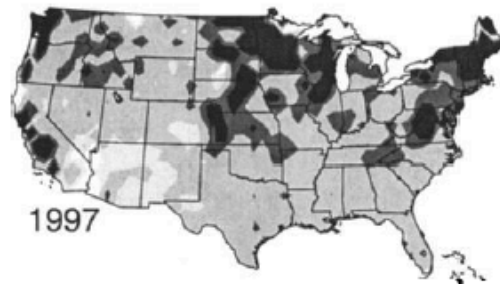
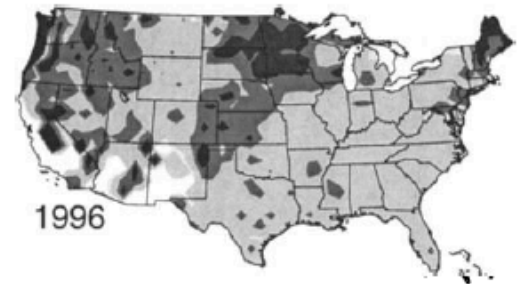
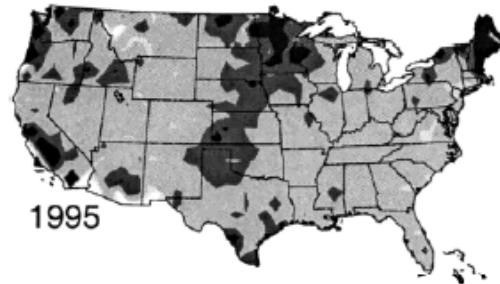
## Compared May 1998 to May 1995-1997 and 1999

- Percentage positive flashes
- Peak currents
- Number of strokes per flash



# Effect of Pollution from Central American Fires on CG Lightning in May 1998

- Percentage positive flashes by year 
- Peak currents
  - Negative flash  by 12 kA
  - Positive flash  by 20 kA
- Number of strokes per negative flash 



→ Suggest aerosols from fires may be affecting lightning characteristics



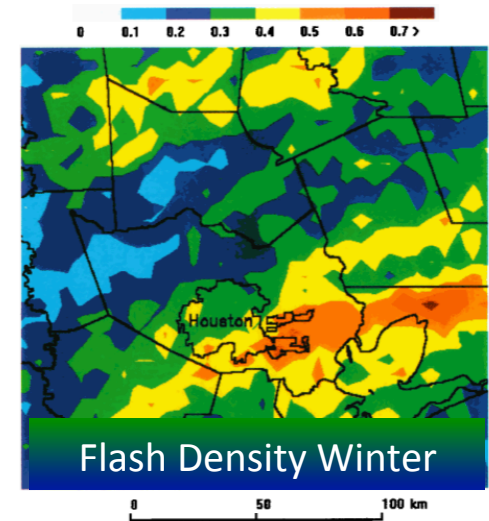
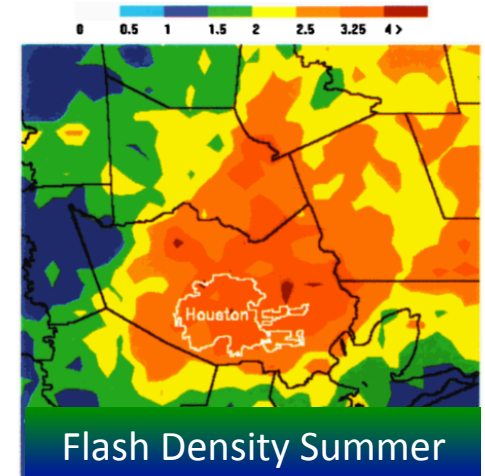
# Enhancement of Cloud-To-Ground Lightning over Houston, Texas (Orville et al., 2000)

1989-2000 NLDN CG flash densities:

- 4 flashes  $\text{km}^{-2}$  in JJA
- 0.7 flashes  $\text{km}^{-2}$  in DJF

Higher in summer than winter

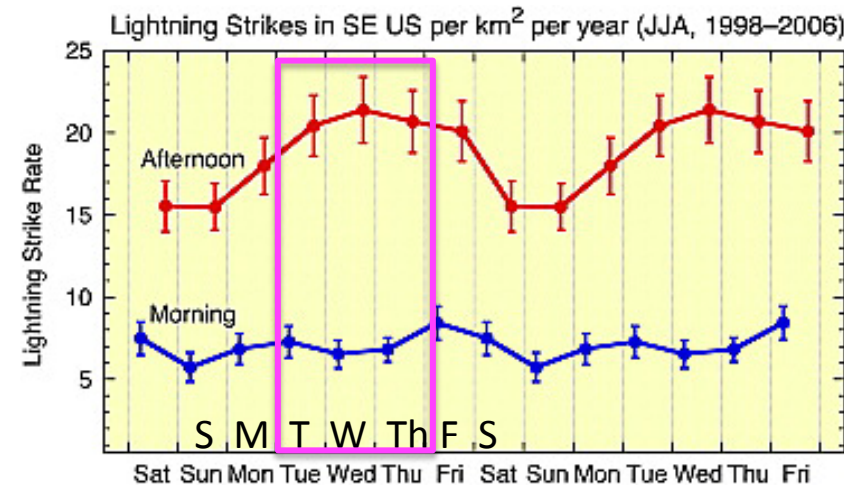
- Convergence due to urban heat island
- Increasing levels of aerosols that enable more cloud water to reach the mixed phase region enhancing separation of electric charge and lightning (discussed but did not provide analysis or modeling to show likelihood)



# Weekly Cycle of Lightning: Evidence of Storm Invigoration by Pollution (Bell et al., 2009)

1998-2009 NLDN CG flash densities:

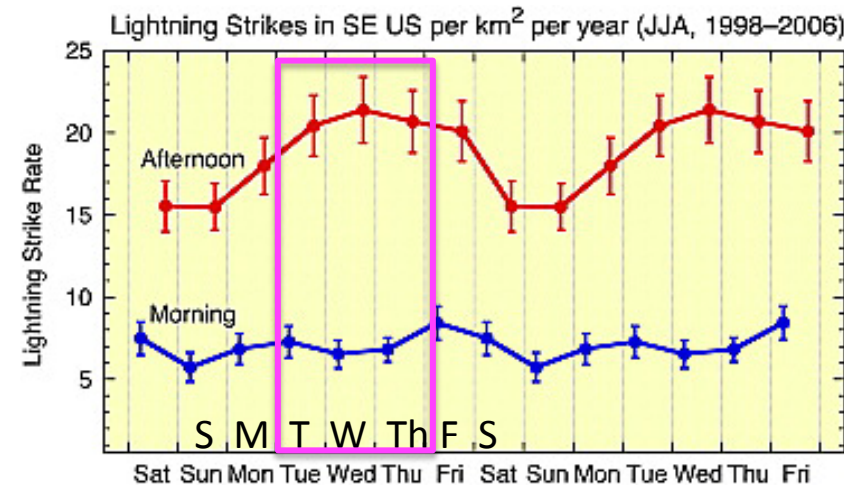
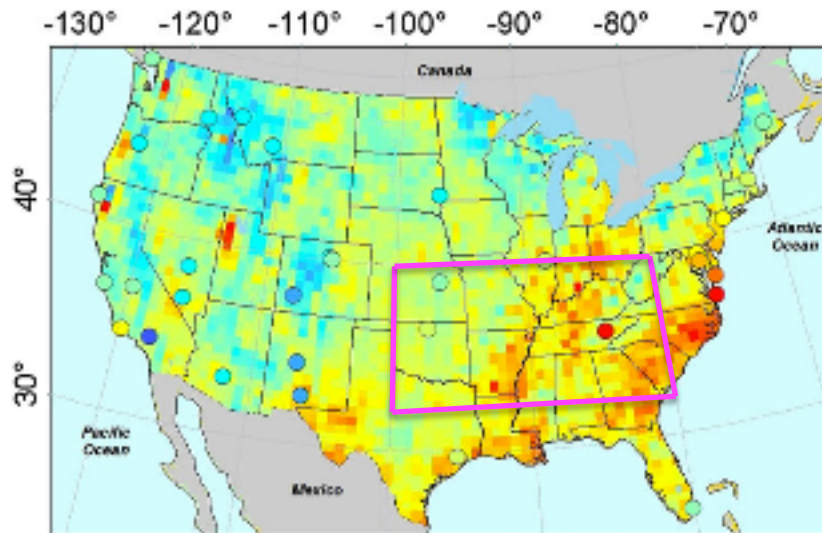
- Summer lightning activity peaks in middle of week in the southeast U.S.
- Weekly cycle reduced over population centers
- No evidence of a weekly cycle of synoptic forcing
- Conclude that aerosols cause storms to intensify in humid, convectively unstable environments



# Weekly Cycle of Lightning: Evidence of Storm Invigoration by Pollution

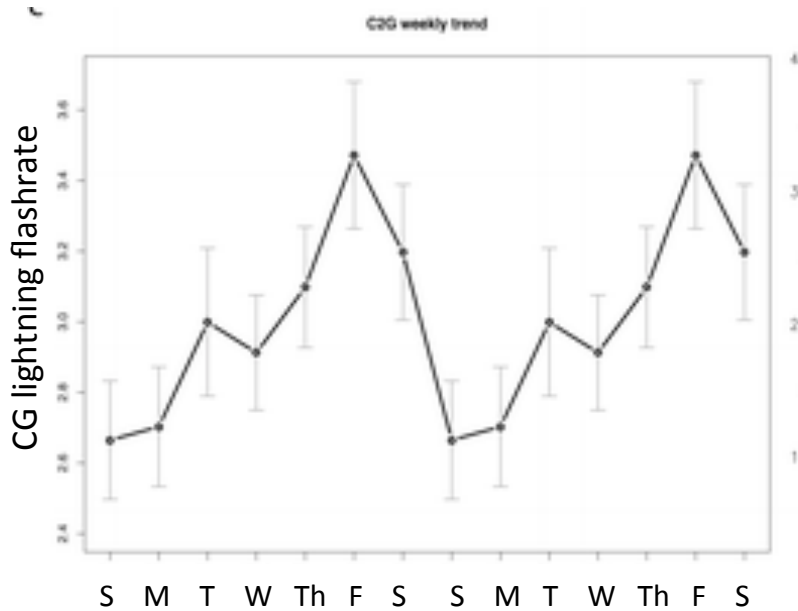
Over this “SE U.S.” region,

- Aerosol distributions vary greatly
- May not be substantially different during week versus weekend due to emissions



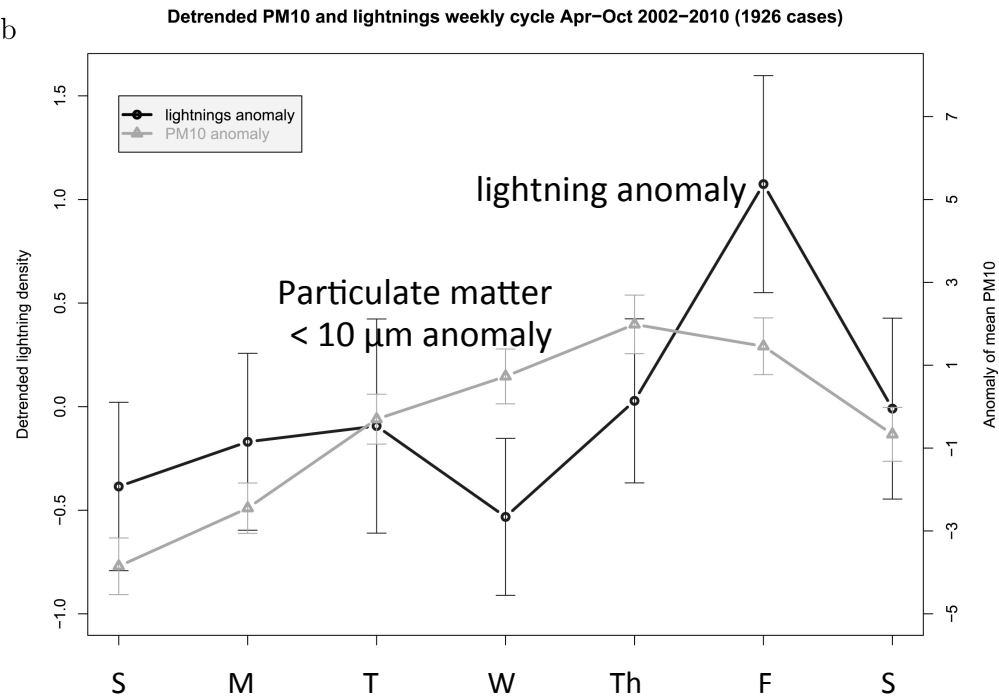
# Weekly Cycle of Lightning

## Also seen in Northeastern Italy



Feudale and Manzato (2014)  
*J. Appl. Meteo. and Climate*

b



# Cloud Modeling of Storms with Lightning Prediction

Mansell and Ziegler (2013) *J. Atmos. Sci.*

Aerosol Effects on Simulated Storm Electrification and Precipitation in a Cloud Model

*Use state-of-the-art cloud model (COMMAS) with storm electrification scheme (i.e. predict charge). Conduct idealized simulations of a small thunderstorm observed during the TELEX field campaign.*

$$N_{drop} = CCN S^{0.6}$$

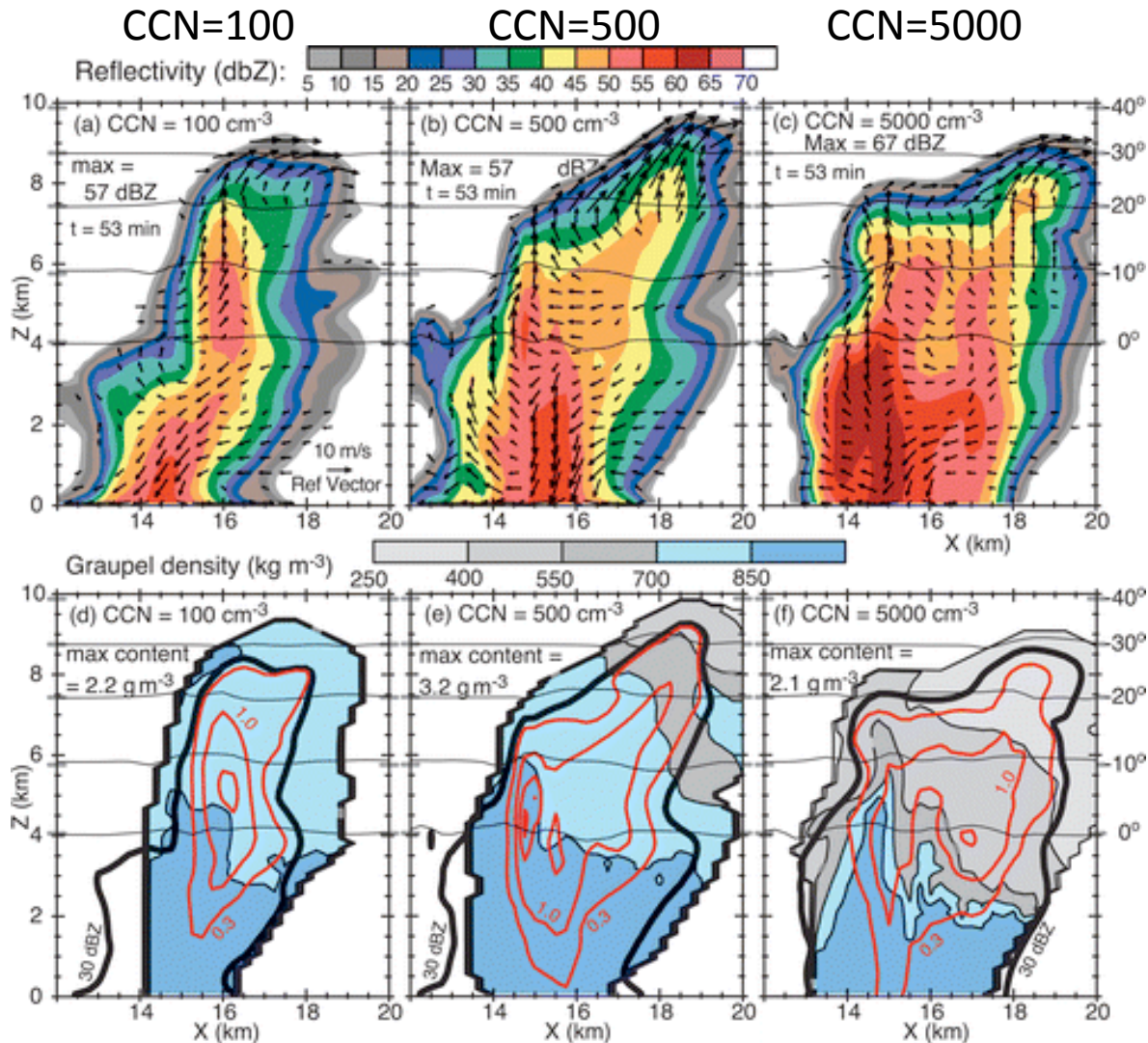
$$CCN = 100-5000 \text{ cm}^{-3}$$

# Aerosol Effects on Simulated Storm Electrification and Precipitation in a Cloud Model

Examined effects of different CCN concentrations on a multicell convective storm

- Shows CCN causing updraft invigoration and delay of precipitation formation (Rosenfeld et al., 2008)
- Graupel Production increases with CCN rising
- Lightning response is weak until Hallett-Mossop rime splintering ice multiplication becomes more active (CCN > 700 cm<sup>-1</sup>)
- Greater CCN concentrations lead to greater lightning activity but with sensitivity to ice multiplication

# Aerosol Effects on Simulated Storm Electrification and Precipitation in a Cloud Model



- Storm increases in height, updraft strength at CCN = 500 cm<sup>-3</sup>
- A slightly weaker storm at CCN = 5000 cm<sup>-3</sup>

- Graupel density changes from hail-like to graupel-like for increasing CCN because smaller drops result in lower density graupel

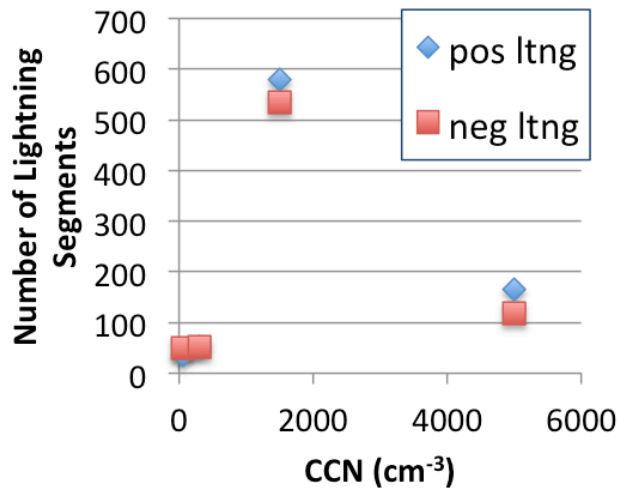
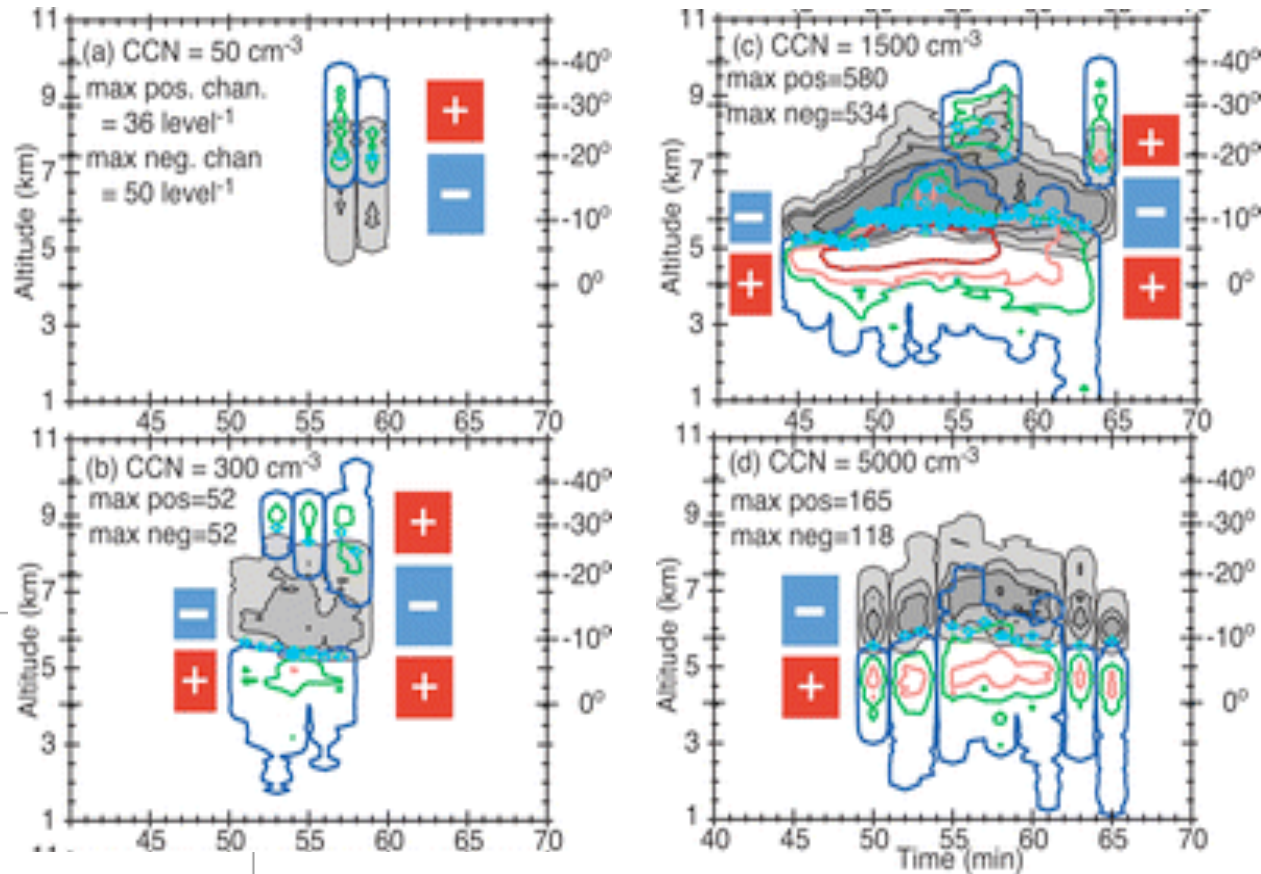
➤ Impacts lightning

# Lightning Activity Increases As More Graupel Is Generated

changes in ice crystal production play a role

## Charge structure

- Low CCN, positive dipole
- Higher CCN, initially a negative dipole that become a tripole at CCN of 300-500  $\text{cm}^{-3}$
- Remains a negative dipole for CCN=5000

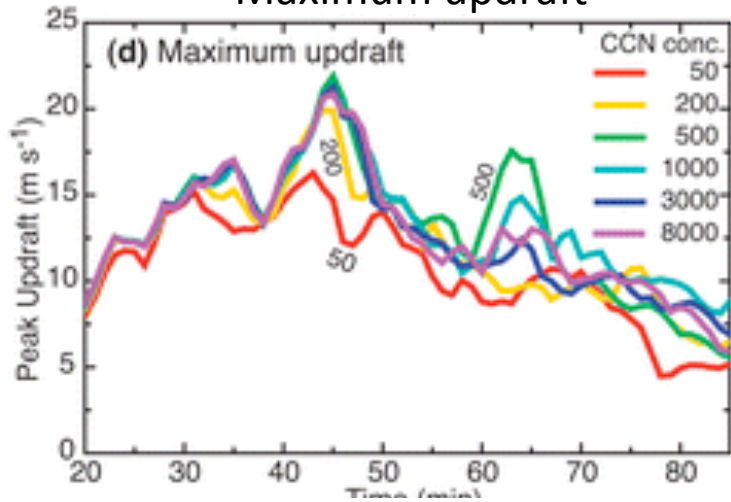


Reduction in lightning activity at high CCN is due to ice crystal generation



# Updraft Invigoration Effect is Evident

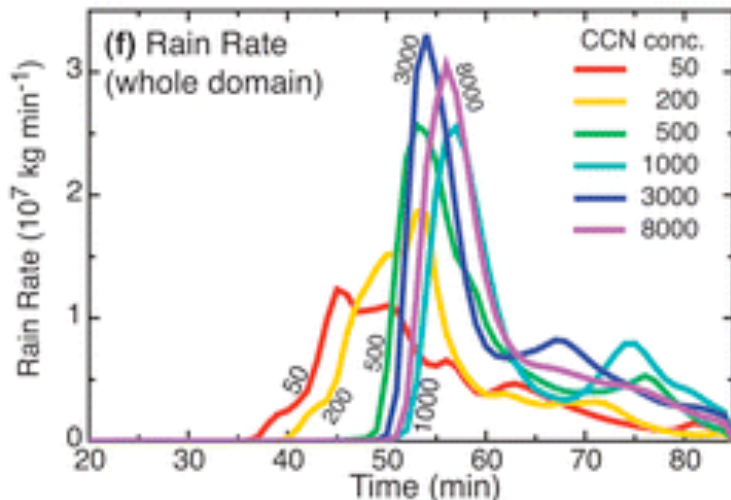
Maximum updraft



$N_{\text{CCN}}$

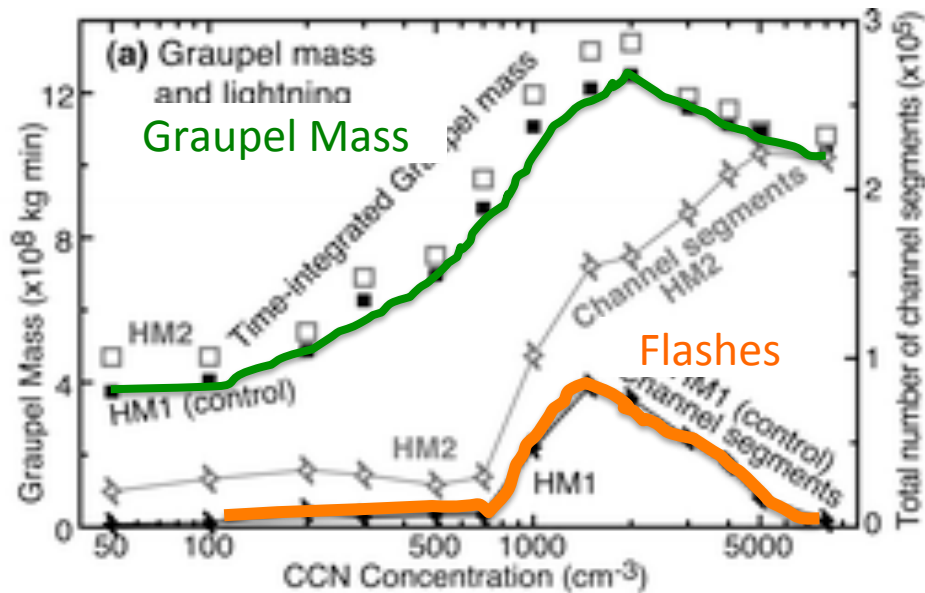
- 50
- 200
- 500
- 1000
- 3000
- 5000

Rain Rate



- Updraft volume increases with increased  $N_{\text{CCN}}$
- Driven by increased condensation rate
- Buoyancy affected by freezing via graupel riming and reduced water loading by sedimentation
- Rain mass highest at  $500 \text{ cm}^{-3}$
- Rain rate is delayed for higher CCN

# Lightning Flash Rates Correlated with Updraft Volume, Precipitation Ice Mass



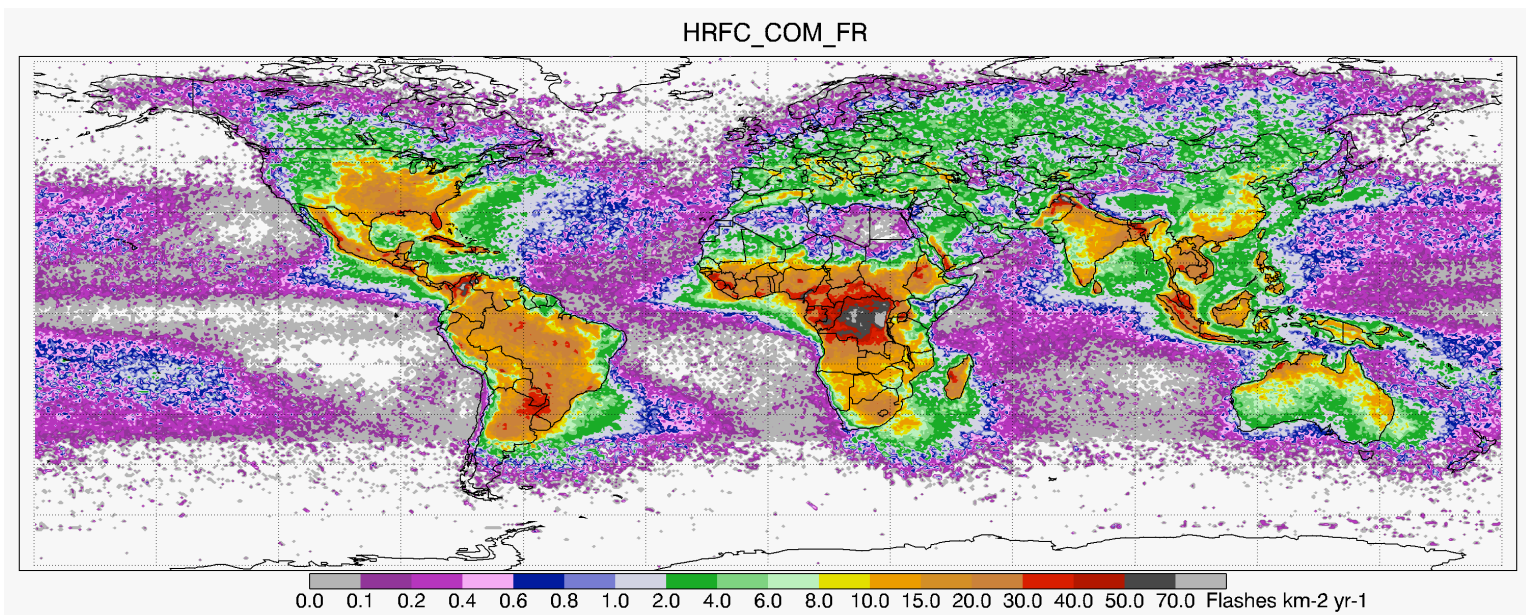
- Graupel mass corresponds with lightning channel segments
- But decrease in lightning is greater than decrease in graupel mass – somehow graupel becomes less effective at charge separation at high CCN
- Changes in graupel mass and number cannot account for dramatic drop in lightning flashes
- Small ice crystal production from ice splintering explains drop in lightning flashes

## Simulations of Convection and Lightning Found:

- Increasing CCN causes updraft invigoration and delay of precipitation formation (Rosenfeld et al., 2008)
- Graupel Production increases with CCN rising
- Lightning response follows graupel mass which increases as CCN increases until high CCN ( $1500 \text{ cm}^{-3}$ )
- Ice multiplication from Hallett-Mossop rime splintering process is key to understanding why lightning decreases dramatically at high CCN while graupel mass decreases more gently
- Greater CCN concentrations leads to greater lightning activity but with sensitivity to ice multiplication

# Simultaneous Influences of Thermodynamics and Aerosols on Deep Convection and Lightning in the Tropics

Stolz et al. (2015) *J. Geophys. Res*



# Simultaneous Influences of Thermodynamics and Aerosols on Deep Convection and Lightning in the Tropics

## Thermal Hypothesis as to why lightning varies

- Differences in thermodynamic instability
- CAPE, low-level shear

## Aerosol Hypothesis as to why lightning varies

- Number of CCN influences microphysical and vertical development of convective clouds → convective invigoration
- At  $\text{CCN} > 500 \text{ cm}^{-3}$ , collision-coalescence mechanism hinders precipitation formation (relative to low CCN case)
- More cloud water transported to mixed phase region, causing more latent heat (when drops freeze), stronger updrafts, & greater charge separation

## Mutual Dependence between Thermodynamics and Aerosols

- Aerosols may influence how much of the CAPE is realized by an air parcel

# Simultaneous Influences of Thermodynamics and Aerosols on Deep Convection and Lightning in the Tropics

## **2004 – 2011 TRMM Satellite Data**

1. Total lightning flash density from LIS
2. Precipitation from PR
3. Convective feature (CF) database based on PR, LIS, ...
4. Database uses ECMWF Reanalysis to provide T, p, water vapor → CAPE

## **GEOS-Chem model with TOMAS aerosol module**

1. Lower troposphere aerosol number concentrations, N40, as CCN proxy
2. N40 = number concentration of aerosols with diameter > 40 nm
3. Use lowest 10 layers (to ~850 hPa) for N40 data

Coarse grid resolution (2.5°); 38°S – 38°N global study

# Thermodynamic Variables Used in Analysis

CAPE = convective available potential energy

NCAPE = normalized CAPE

= mixed layer CAPE divided by depth of positive area of sounding

NCAPE =  $0.1 \text{ J kg}^{-1} \text{ m}^{-1}$  could represent CAPE =  $1000 \text{ J kg}^{-1}$  over a 10 km depth

NCAPE as estimate for potential intensity of deep convection

LCL = lifting condensation level =  $0.12 \times (T_{\text{sfc}} - T_d)$

(surface T and dewpoint;  $0.12 = 1 \text{ K}/8.5 \text{ km}$  scale height )

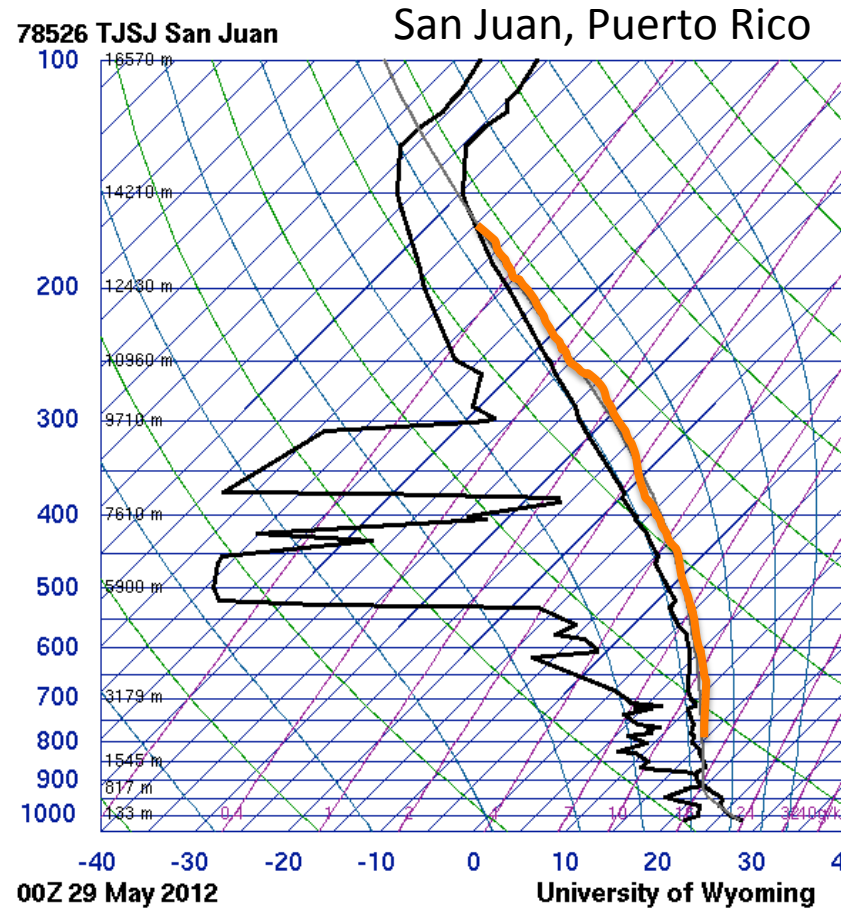
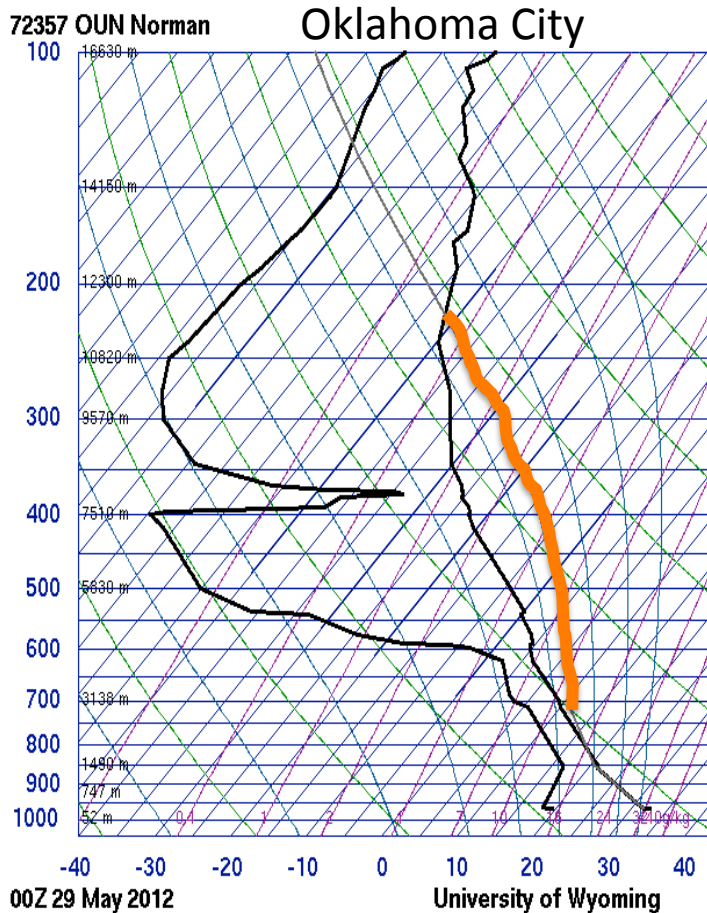
FH = freezing height

WCD = warm cloud depth = FH – LCL

# Midlatitudes versus Tropics

Same value of CAPE but one is short and fat and the other is long and skinny

→ Normalized CAPE accounts for these differences





# Thermodynamic Variables Used in Analysis

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NCAPE = normalized CAPE

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FH = freezing height

WCD = warm cloud depth = FH – LCL

# Storm Variables Used in Analysis

VPRR = vertical profile of radar reflectivity

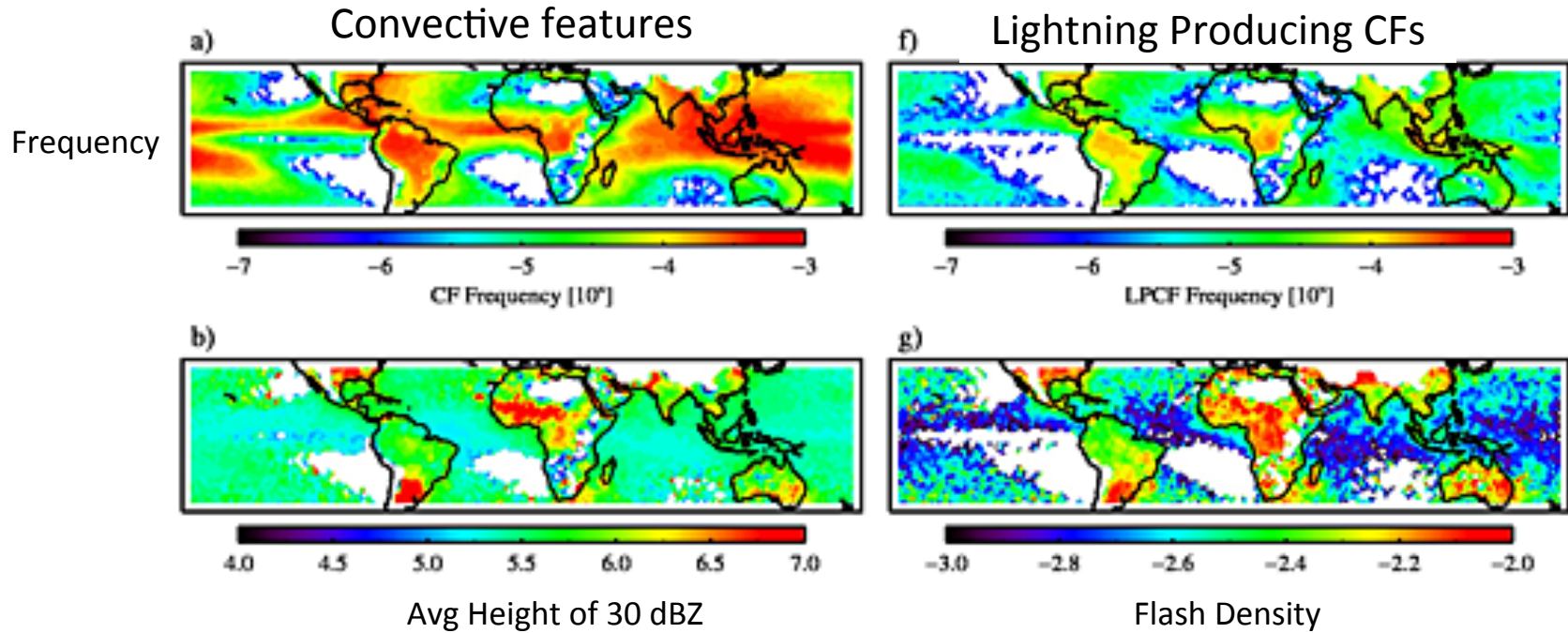
AVGHT30 = average height of 30 dBZ echoes

= peak altitude in the mean VPRR where the reflectivity was between 30.0 and 39.9 dBZ, relative to ground surface

TLD = total lightning density (flashes  $\text{min}^{-1}$ )

= total lightning flash rate divided by area of convective feature

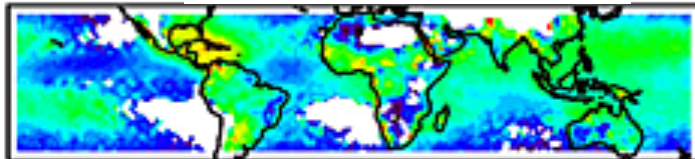
# Global Statistics



Similar pattern of 30 dBZ height and Flash Density  
for continents

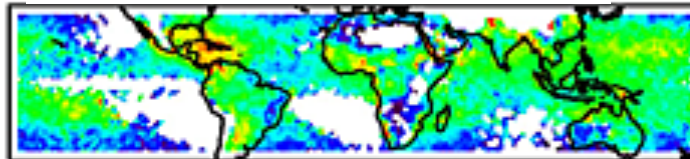
# Global Statistics

c) Convective features



0.00 0.02 0.04 0.06 0.08 0.10 0.12 0.14  
Normalized CAPE [ $\text{J kg}^{-1} \text{m}^{-2}$ ]

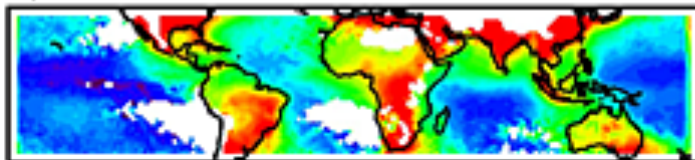
h) Lightning Producing CFs



0.00 0.02 0.04 0.06 0.08 0.10 0.12 0.14  
Normalized CAPE [ $\text{J kg}^{-1} \text{m}^{-2}$ ]

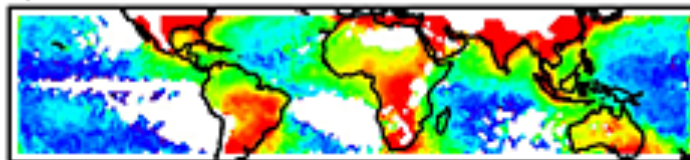
Normalized  
CAPE

d)



1.0 1.5 2.0 2.5 3.0  
Boundary Layer N40 Concentration [ $10^6 \text{ cm}^{-3}$ ]

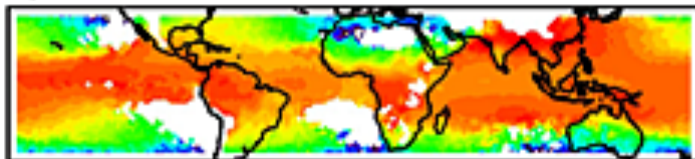
i)



1.0 1.5 2.0 2.5 3.0  
Boundary Layer N40 Concentration [ $10^6 \text{ cm}^{-3}$ ]

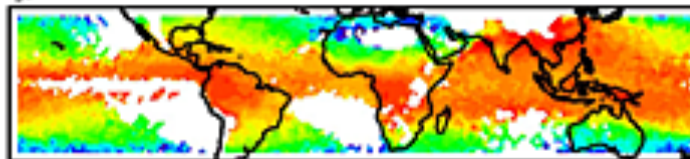
PBL N40  
Concentration

e)



1000 2000 3000 4000 5000  
Warm Cloud Depth [m]

j)

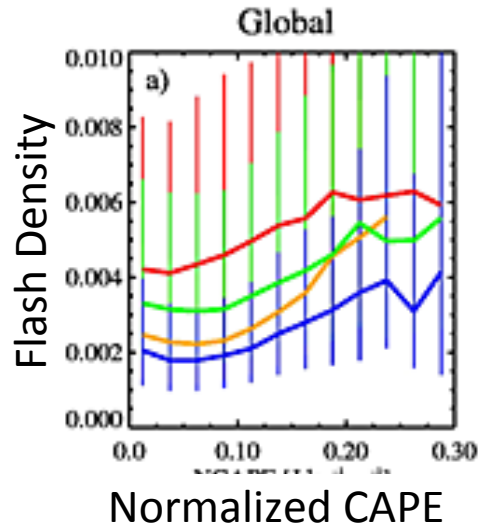


1000 2000 3000 4000 5000  
Warm Cloud Depth [m]

Warm Cloud  
Depth

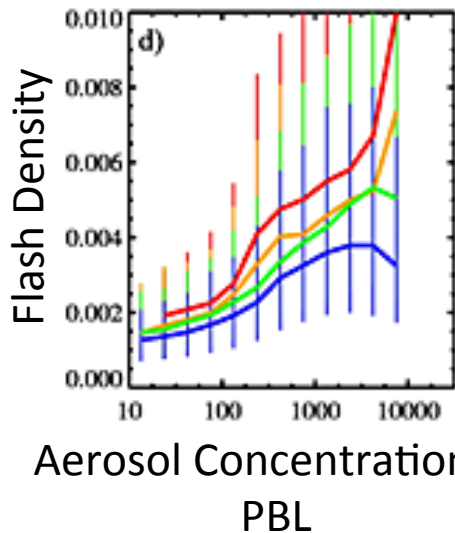
- Similar NCAPE for oceans and continents
- Different N40 for ocean and continents
- Similar WCD for ocean and continents

# Sensitivity of Lightning to Thermodynamics and Aerosols



High N40, shallow WCD  
Low N40, shallow WCD  
High N40, deep WCD  
Low N40, deep WCD

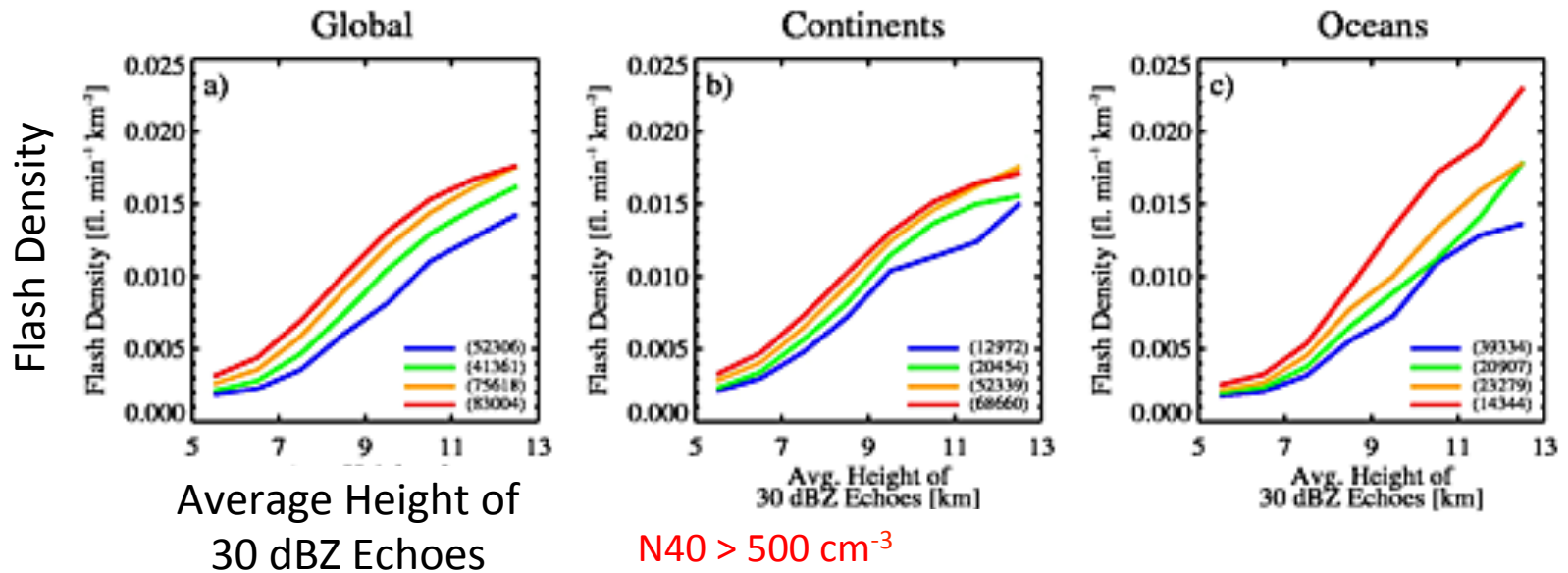
- Flash density variability greater with respect to N40 than NCAPE for continents



High NCAPE, shallow WCD  
Low NCAPE, shallow WCD  
High NCAPE, deep WCD  
Low NCAPE, deep WCD

# Variations with Warm Cloud Depth

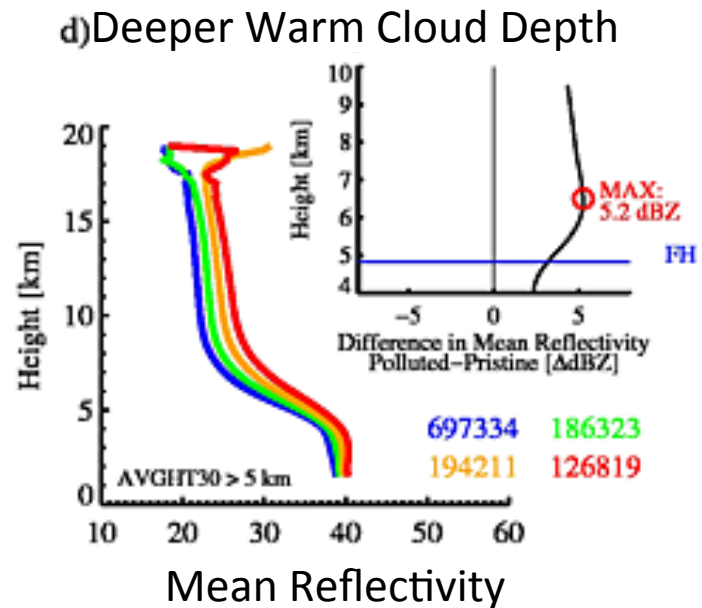
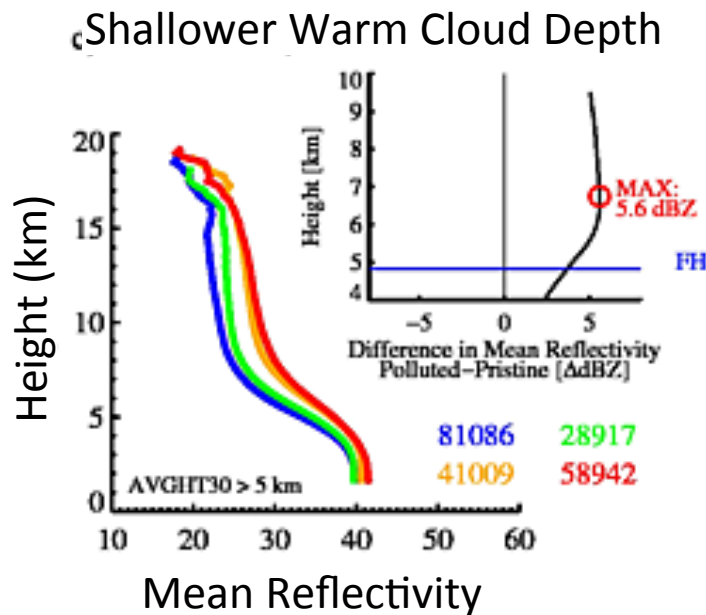
- As N40 increases, both total flash density and average height of 30 dBZ echoes increase



N40 > 500 cm<sup>-3</sup>  
200 < N40 < 500  
100 < N40 < 200  
N40 < 100

# Variations with Warm Cloud Depth

- Vertical profiles of radar reflectivity show at a given altitude increases in radar reflectivity as  $N_{40}$  increases
- Largest changes are for shallower WCD
- Behavior is consistent with aerosols invigorating storms via latent heating



$N_{40} > 500 \text{ cm}^{-3}$   
 $200 < N_{40} < 500$   
 $100 < N_{40} < 200$   
 $N_{40} < 100$

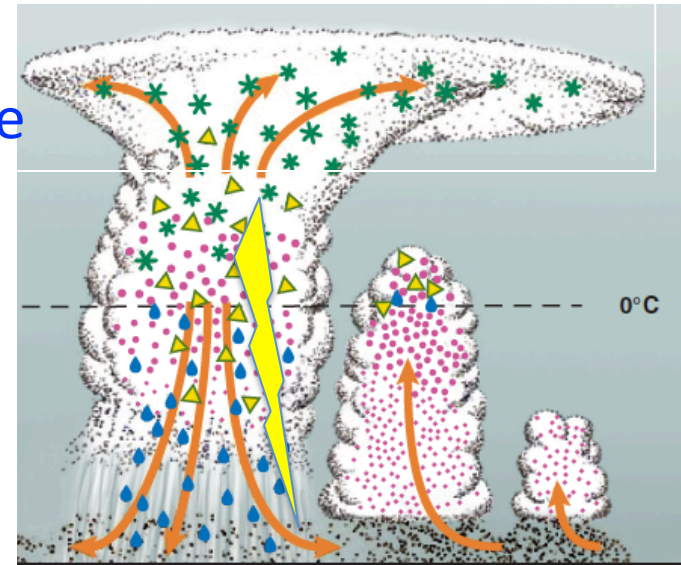
# Aerosol Effects on Flash Rate

- Highest flash rate density and 30 dBZ heights associated with deep convective features that develop in polluted environments
  - shallower warm cloud depths
  - normalized CAPE  $> 0.25 \text{ J kg}^{-1} \text{ m}^{-1}$
- Merged or Simultaneous Hypothesis: aerosols and thermodynamics combine to affect lightning



# Find Warm Cloud Depth Matters

- Very shallow warm cloud depths
  - aerosol effect on cloud droplets do not have enough time to affect collision/coalescence processes
- Shallow warm cloud depths
  - more cloud drops reach the mixed phase region allowing aerosols to affect riming, charge separation, and lightning flash rates
- Deep warm cloud depths
  - cloud drops do not reach mixed phase region because they have already converted to precipitation via collision/coalescence processes



# Summary

- Observational evidence of aerosols affecting lightning flash rate
  - Possible weekly cycle of lightning with peak middle of week after aerosol concentrations increase
- Modeling evidence of aerosols affecting lightning flash rate
  - more cloud drops reach the mixed phase region allowing aerosols to affect riming, charge separation, and lightning flash rates
- Analysis of primarily tropical convection
  - Highest flash rates associated with polluted environments with shallower warm cloud depths and high normalized CAPE